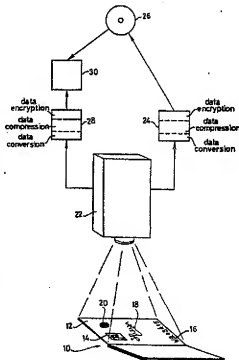




INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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| (21) International Application Number: PCT/NL91/00098 (22) International Filing Date: 14 June 1991 (14.06.91) (30) Priority data: 9001368 15 June 1990 (15.06.90) NL (71) Applicant for all designated States except US: TEL-DEVELOPMENTS B.V. [NL/NL]; Verlegde Hereweg 192, NL-9722 AM Groningen (NL). (72) Inventor; and (75) Inventor/Applicant (for US only): TEL, Teunis [NL/NL]; Verlegde Hereweg 192, NL-9722 AM Groningen (NL). (74) Agent: TIMMERS, C., H., J.; Exterpatent B.V., P.O. Box 90649, NL-2509 LP The Hague (NL). | | (81) Designated States: AT (European patent), BE (European patent), CA, CH (European patent), DE (European patent), DK (European patent), ES (European patent), FR (European patent), GB (European patent), GR (European patent), IT (European patent), JP, LU (European patent), NL (European patent), SE (European patent), US. Published <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i> |
| (54) Title: SECURITY OF OBJECTS OR DOCUMENTS | | |
| (57) Abstract <p>An object or document (10) secured against fraud, a system for securing said object or document (10) against fraud, and a method of putting into public circulation and checking said object or document (10) which is provided with at least one identifying mark (14, 16, 18) and at least one confined pattern (20) of fibres (2) which is incorporated in a surface (12) of the object or document, the fibres being fixed in an arbitrary manner relative to each other and the surface. The pattern is made up of a piece of nonwoven material embedded in a transparent material within the boundaries of the surface. The system comprises scanning means (22) for optically scanning the entire pattern (20) at an angle relative to the surface (12) in which it is incorporated; scanning means (22) for optically scanning one or more additional identifying marks (14, 16, 18) of the object or document (10); converting means (24, 28) for converting the optical information obtained by the scanning means (22) into digital data, vice versa; data compressing means (24, 28) for converting the original quantity of digital data into a reduced quantity of equivalent digital data, vice versa; storage means (26) for recording the reduced quantity of digital data in a read-only memory; comparing means for comparing the data obtained with the scanning means (22), the converting means (24, 28) and the data compressing means (24, 28) concerning an object or a document (10) with data previously recorded in the storage means (26); and can comprise data encryption means (24, 28) for converting the reduced quantity of digital data into coded digital data, vice versa.</p> | | |



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Security of objects or documents.

The invention relates to an object or document secured against fraud. The invention also relates to a system for securing said object or document against fraud and to a method of putting into public circulation and
5 checking said object or document.

An object or document of the above-mentioned type can be, for example, a passport, a banker's card, a credit card, a security such as a banknote, a cheque or a share certificate, a product label, a travel card such as an
10 airline ticket, or an admission ticket, but it can also be a painting, a compact disc, a music-, photo-, film- or video cassette, or a car.

If, by way of example, a passport is considered, it is provided with identifying marks with the object of
15 safeguarding use of the document by making it unique and confined to one person. These identifying marks can comprise a specific layout of the document, a person's name, a passport photograph, an alphanumeric code, signatures, stamps and the like.

20 Another example of a document is a banknote, in the case of which efforts are made to counteract forgery by providing a watermark and other identifying marks which are difficult to copy, and also a unique serial number.

Some of the provided identifying marks are generally
25 applied by means of very advanced equipment and techniques - in particular in the case of banknotes - in order to minimise the chance of illegal duplication of the documents. The price which has to be paid for these security measures is consequently high.

30 However, none of the above-mentioned measures provides adequate protection against the forgery of individual objects or documents, since in the first place the identifying marks can be copied with little or great

effort. Further, when a passport is being checked the passport number or the person's name specified are used to check in a data file whether the passport number and the person's name exist and go together. This check does not, however, rule out the possibility that the person using the passport could be a different person from the one to whom the passport belongs, a fraud which can remain unnoticed through, for example, an indiscernible change of the passport photograph in the passport. It is also possible for the document itself to have been forged in such a way that this is not noticed by the authority checking it.

Secondly, a check on a specific combination of identifying marks is often impossible in practice. As already described above, it cannot be ruled out in the case of, for example, a passport that the document itself has been forged or that one of the identifying marks placed on it, such as a passport photograph, does not form a unit with the other identifying marks with which it is provided. The reason for this is that the data file forming the reference for the check contains purely alphanumeric information such as names and numbers and does not have any graphic information relating to, for example, the picture on a passport photograph or a signature.

Besides, it is generally not possible to check whether a specific object or document is genuine by means of a data file, but only by a human or mechanical assessment of a number of identifying marks of the object or document which are the same for all objects or documents, such as a line pattern, a colour, a watermark or the like.

From US-A-4 218 674 a system comprising an object, in particular a document, secured against fraud is known, which object is provided with random imperfections consisting of fibers of a magnetic or magnetizable material which can be detected when measuring along a predetermined measuring track on the object. The measured imperfections are converted into a row of electric pulses and synchronised with a timing pulse also derived from marks on the object.

- Such a security system obviously does not function properly when the object has been subjected to external magnetic fields and therefore is of limited practical value. Besides, only a track is scanned, which provides only a limited
- 5 quantity of security data for securing the object.

- In the known method, the obtained security data are not combined with any data related to possible identifying marks on the object, and although the authenticity of the object can be shown by proving by way of comparison with
- 10 previously recorded security data the authenticity of the security data obtained from the object, this provides no proof whatsoever of the identity of the user of the object without further precautions being taken.

- Another disadvantage of the known system resides in
- 15 the fact that no measures are taken to reduce the obtained quantity of security data to a more compressed form. Consequently, a relatively large storage capacity of a computer is used and a relatively long processing time will be necessary to compare a read security code with a large
- 20 number of previously stored codes.

- Last but not least, for an expert forger in the field of electronics and computers it does not present many problems to prepare a forged document which will produce a valid security codes and visually cannot be distinguished
- 25 from an authentic one. This could be accomplished, by example, by breaking into the computer where a list of security code is stored, by tapping the electrical connection between a measuring station and said computer, or by analysis of the magnetic properties of an authentic
- 30 document.

- The object of the invention is in the first place to provide an object or document which is provided with a unique mark which cannot be copied. The object or document, which is provided with at least one identifying mark and at
- 35 least one confined pattern of fibres which is incorporated in a surface of the object or document, the fibres being fixed in an arbitrary manner relative to each other and the

surface, is to that end characterised by a pattern made up of a piece on nonwoven material embedded in a transparent material within the boundaries of the surface.

If the pattern, in which many parts of fibres
5 constituting the nonwoven material lie arbitrarily directed, is incorporated in a surface of an object or document, the latter is provided with a unique mark which cannot be copied. The object or document is thus itself made unique and impossible to forge; the pattern forms, as it were, the
10 fingerprint of the object or document. For a definition of nonwovens reference is made here to international standard ISO 9092.

Great advantages of the use of nonwovens are their low thickness, the very low mass per unit volume, and the
15 low cost.

The transparent material in which the nonwoven material is embedded can, in thin objects or parts thereof, take the form of a window where the pattern is visible both from the front and the back side.

20 The unique pattern must be constant in all circumstances of use, such as varying ambient temperature, humidity, mechanical stress, chemical, electric and magnetic influences and the like. It has been found that a particularly stable pattern is obtained if the core of the fibres
25 is of polyester, and is surrounded by a polyamide covering.

The invention also provides a system for securing the object or document according to the invention, the system being characterized by: scanning means for optically scanning the entire pattern at an angle relative to the
30 surface in which it is incorporated; scanning means for optically scanning one or more additional identifying marks of the object or document; converting means for converting the optical information obtained by the scanning means into digital data, vice versa; data compressing means for converting the original quantity of digital data into an reduced
35 quantity of equivalent digital data, vice versa; storage means for recording the reduced quantity of digital data in

a read-only memory; and comparing means for comparing the data obtained with the scanning means, the converting means and the data compressing means concerning an object or a document with data previously recorded in the storage means.

- 5 Optically scanning the pattern enables a noncontact scan wherein only the positions of the fibres play a role and imposing wear on the pattern is avoided. When the entire pattern is scanned and not just a track across the pattern, depending on the resolution with which it takes place, much
10 information is obtained which, as a whole, is quite unique.

- The scanning means - e.g. a high resolution camera - scan the pattern at an angle which for practical reasons will be close to or equivalent to 90°, but may also be chosen much less, depending mainly on the angle of
15 refraction of the transparent material.

- Apart from the pattern at least one additional identifying mark is scanned. In most cases this mark will be the appearance of the object as a whole, while in the case of an object being an identity card said mark may be a
20 photograph of the owner.

- The converting means then convert the output, e.g. pixel data, of the scanning means into a suitable form for processing the data in data compressing means, where the original quantity of digital data is converted into a
25 reduced quantity of equivalent digital data by processing the data with predetermined algorithms. The resulting reduced quantity of digital data is then stored in a permanent memory, where a minimal quantity of memory is required for recording the data.

- 30 The comparing means of the system enable a user to utilize the system for security checks. Such a check can be done quickly, since only reduced quantities of data need to be compared. The system may comprise central or distributed storage means to be able to use the system at arbitrary
35 places. In both cases a copy of the stored data must be transported over large distances which involves a risk of the information falling into the wrong hands. To avoid this,

in a preferred embodiment the system comprises data encryption means for converting the reduced quantity of digital data into coded digital data, vice versa.

To enable a visual check performed by a security person, the system can reassemble the original optical information from the data stored in the memory of the storage means by reverse operation of the converting means, the data compressing means and possibly the data encryption means.

The pattern of nonwoven material comprises several layers and consequently has a three-dimensional structure. It is advantageous to scan this pattern from at least two different angles, because this produces different information which is correlated by the arrangement of the fibres, and which is more unique than the information derived from a single scanning of the pattern.

Advantageously, the converting means are arranged to determine one or more characteristics of a polygon defined by fibre parts of the nonwoven material on the basis of the optical information, and to convert these characteristics into digital data, vice versa.

In a preferred method for putting into public circulation and checking the object or document according to the invention with the system according to the invention, prior to the issue of the object or document, the related reduced quantity of possibly coded data is recorded in the memory of the storage means, which memory is retained at a data keeper, and when the object or document is being checked it is optically information thus obtained is processed by means of the converting means, the data compressing means and possibly the data encryption means, and the resulting data is compared with the data recorded in the memory of the storage means or a copy thereof. The data keeper, an independent person or institute, plays an important role in ensuring the integrity of the data obtained prior to the issue of the object or document, and can provide material evidence that an object being checked is forged or not.

The invention is explained with reference to the drawing, in which:

Fig. 1 shows a first pattern of fibres on a greatly enlarged scale according to the invention; and

5 Fig. 2 illustrates a method according to the invention for issuing and checking a passport.

Fig. 1 shows a part of a large, arbitrary pattern of intersecting fibres 2, which pattern continues in an arbitrary manner towards all sides outside the figure. The
10 fibres 2 are fixed relative to each other. A confined smaller pattern is obtained, for example, by cutting through the fibres 2 along the dashed and dotted line 4. The confined pattern thus formed can be fixed in a surface of an object or document.

15 It should be pointed out here that it is irrelevant for the invention whether or not the fibres are the same thickness as each other, whether or not the fibres are the same thickness over the entire length, whether the fibres are straight or bent, and whether or not they intersect one
20 another.

In Fig. 1 the fibres 2 define many different polygons, two of which are indicated with dashed lines. Thus, a triangle 3 and quadrangle 5 can be recognized, which are characteristic for the specific pattern of nonwoven
25 material. Attributes of such polygons, e.g. angles α , β and γ of triangle 3, may be evaluated and used for characterization of the pattern.

Figure 2 shows a passport 10 in which a page 12 is provided with a number of identifying marks. A passport
30 photograph 14, an alphanumeric code 16, a signature 18 and a pattern 20 are provided on the page 12. For the sake of clarity, the pattern 20 is shown relatively large, but in a practical application the largest dimension need not be greater than a few mm.

35 When the passport 10 is being issued not only the passport photograph 14, but also the signature 18 and the pattern 20 of fibres on the page 12 are optically scanned by

a camera 22, and after data conversion, data compression and data encryption, which operations are performed by means symbolised by block 24, the resulting coded data are stored in a permanent memory, symbolised in Fig. 2 by disk 26. Such
5 a permanent, read-only memory may comprise an optical WORM (Write Once Read Many) device.

Disk 26 or a copy thereof can be used at the place where the check is taking place for checking passports presented at that place. The information obtained there by a
10 check scan with camera 22, after data conversion, data compression and data encryption, jointly symbolised by block 28, is compared in comparison element 30 with the coded data stored on the disk 26, as a result of which fraud which may have occurred with the passport can be established.

15 It is also possible for the purpose of checking by means of data communication to consult a central memory which is accessible from several points.

If it is found during the check that one or more identifying marks of the combination of scanned identifying
20 marks differ from those of a combination of the recorded identifying marks, it is concluded that a forged passport is being presented. If, on the other hand, the combination of checked identifying marks corresponds fully to the combination of recorded identifying marks, it is then
25 concluded that the passport is not forged.

The coded data of at least as many identifying marks of an object or document as is necessary to establish fraud is stored in the memory.

By way of example, another application of the system
30 according to the invention is the issue of a registration certificate for a car, which is provided with a chassis number as usual, and a pattern of nonwoven material. The registration certificate is also provided with a pattern of nonwoven material. At issuing the registration certificate
35 together with the car both patterns and additional identifying marks are scanned and the coded scan data, together with the chassis number, are recorded in a

database. This information now is inseparably combined and can be found in the database. If at a check the information is not found, the originally scanned pictures can be found and it is easily discovered where and how forgery took

5 place.

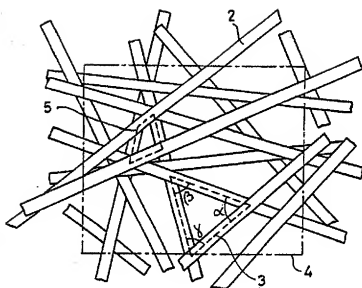
Still another application of the system and the method according to the invention is the production of trade mark labels for clothes, etc. As a first step in the process a data keeper, also referred to as a security printer, 10 produces for a client a series of trade mark labels with a pattern of nonwoven material and a code number. The security printer fully automatically records the pattern and the code number by means of a digital camera, and stores the concerning coded data on a WORM optical disk. Next, the 15 security printer delivers the trade mark labels and the optical disk or a copy thereof to the client and retains a copy of the optical disk or the original thereof, respectively. The client then processes the trade mark labels in the clothes and distributes the clothes. If there 20 is a suspicion that certain batches of clothes are imitations, an inspector scans the label of a piece of clothing with a digital camera, which scan is sent by modem in coded form to a central place, where it is compared with the data recorded on the optical disk. If the scanned data 25 are not found in the database, it can be proven that the piece of clothing is an imitation without any doubt, since the security printer possesses the original scanned data. This provides two witnesses.

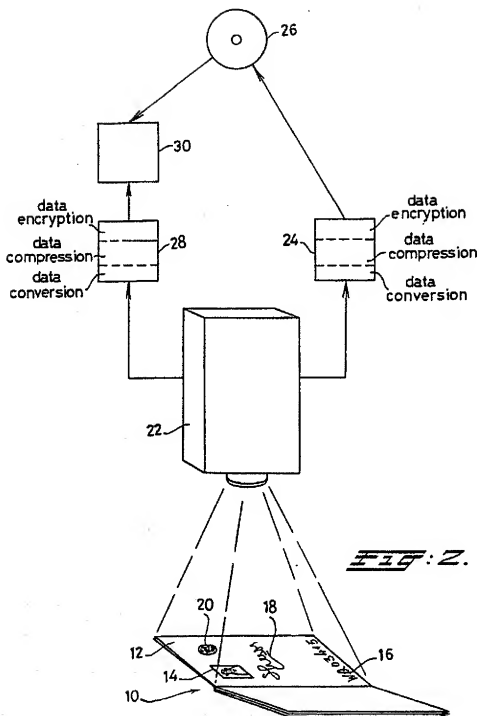
If the clothes are stolen and the trade mark labels 30 are still fixed to the clothes, a proof of the batch from which the clothes originate can be established at any time.

Claims:

1. Object or document (10) secured against fraud, provided with at least one identifying mark (14, 16, 18) and at least one confined pattern (20) of fibres (2) which is incorporated in a surface (12) of the object or document, the fibres being fixed in an arbitrary manner relative to each other and the surface, characterized in that the pattern is made up of a piece of nonwoven material embedded in a transparent material within the boundaries of the surface.
- 10 2. Object or document according to claim 1, characterized in that the fibres (2) are made up of a polyester core with a polyamide covering.
3. System for securing an object or document (10) according to claim 1 or 2 against fraud, characterized by:
 - 15 scanning means (22) for optically scanning the entire pattern (20) at an angle relative to the surface in which it is incorporated;
scanning means (22) for optically scanning one or more additional identifying marks (14, 16, 18) of the object
 - 20 or document (10);
converting means (24,28) for converting the optical information obtained by the scanning means (22) into digital data, vice versa;
data compressing means (24,28) for converting the
 - 25 original quantity of digital data into an reduced quantity of equivalent digital data, vice versa;
storage means (26) for recording the reduced quantity of digital data in a read-only memory; and
comparing means (30) for comparing the data obtained
 - 30 with the scanning means (22), the converting means (24,28) and the data compressing means (24,28) concerning an object or a document (10) with data previously recorded in the storage means (26).

4. System according to claim 3, characterized by:
data encryption means (24,28) for converting the
reduced quantity of digital data into coded digital data,
vice versa.
5. System according to claim 3 or 4, characterized in
that the pattern scanning means (22) scan the pattern from
at least two different angles for generating the optical
information related thereto.
6. System according to claim 3 or 4, characterized in
that the converting means (24,28) are arranged to determine
one or more characteristics of a polygon defined by fibre
parts of the nonwoven material on the basis of the optical
information, and to convert these characteristics into
digital data, vice versa.
7. Method for putting into public circulation and
checking the object or document (10) according to claim 1 or
2 by means of the system according to any of claims 3-6,
characterized in that prior to the issue of the object or
document (10), the related reduced quantity of possibly
coded data is recorded in the memory of the storage means
(26), which memory is retained at a data keeper; and
when the object or document (10) is being checked it
is optically scanned by means of the scanning means (22),
the optical information thus obtained is processed by means
of the converting means (24,28), the data compressing means
(24,28) and possibly the data encryption means (24,28), and
the resulting data are compared with the data recorded in
the memory of the storage means (26) or a copy thereof.

**FIG. 1.**



INTERNATIONAL SEARCH REPORT

PCT/NL 91/00098

International Application No.

| | | |
|---|--|------------------------------------|
| I. CLASSIFICATION OF SUBJECT MATTER (If several classification symbols apply, indicate all) ¹ | | |
| According to International Patent Classification (IPC) or to both National Classification and IPC | | |
| Int.Cl. 5 B42D15/10 | | |
| II. FIELDS SEARCHED | | |
| Minimum Documentation Searched ² | | |
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| Int.Cl. 5 | B42D | |
| Documentation Searched other than Minimum Documentation to the extent that such Documents are included in the Fields Searched ³ | | |
| III. DOCUMENTS CONSIDERED TO BE RELEVANT ⁴ | | |
| Category ⁵ | Citation of Document, II with indication, where appropriate, of the relevant passages ⁶ | Relevant to Claim No. ⁷ |
| A | US, A, 4 218 674 (DASY INTER) see the whole document | 1 |
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| IV. CERTIFICATION | | |
| Date of the Actual Completion of the International Search | Date of Mailing of this International Search Report | |
| 30 SEPTEMBER 1991 | 22. 10. 91 | |
| International Searching Authority | Signature of Authorized Officer | |
| EUROPEAN PATENT OFFICE | LONCKE J. W. | |

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| US-A-4682794 | 28-07-87 | None | |
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